



Atty. Dkt. No. 084561-0106

2161 #4
BJ
11-21-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Landesmann
Title: SERIOUS INTENT MECHANISM
AND METHOD
Appl. No.: 09/862,466
Filing Date: 05/23/2001
Examiner: Unassigned
Art Unit: 2161

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.



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RELEVANCE OF EACH DOCUMENT

All of the documents are in English. Documents A1 and A2 are co-pending U. S. patent applications. Copies of Documents A1 and A2 are provided.

Documents A3-A7 listed on the enclosed 1449 were previously identified in the parent application of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as to not burden the file with duplicate references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examination Procedure. In accordance with 37 C.F.R. § 1.98 (d), the details of the parent application relied upon for an earlier filing date under 35 U.S.C. § 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		STATUS		
U.S. Applications	U.S. Filing Date	Patented	Pending	Abandoned
09/758,239	01/12/01		X	

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise

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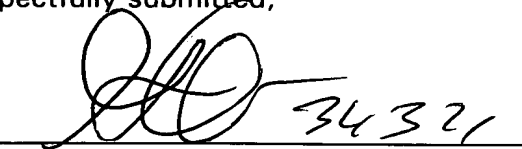
improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: September 28, 2001

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By

A handwritten signature in black ink, appearing to be 'W. T. Ellis', followed by the number '34321'.

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William T. Ellis
Attorney for Applicant
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Form PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

SEP 28 2001

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084561-0106SERIAL NO.
09/862,466

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT

Mark Landesmann

FILING DATE

05/23/2001

GROUP ART UNIT

2161

U.S. PATENT APPLICATIONS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A1	09/862,465		Landesmann			05/23/01
	A2	09/862,420		Landesmann			05/23/01

U.S. PATENT DOCUMENTS

	A3	5,794,207	08/98	Walker et al.	705	23	
	A4	5,797,127	08/98	Walker et al.	705	5	
	A5	5,897,620	04/99	Walker et al.	705	5	
	A6	5,855,008	12/98	Goldhaber et al	705	14	
	A7	5,774,870	06/98	Storey	705	14	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.